

03-15-01

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03/14/01  
J1050 U.S. PTOUTILITY  
PATENT APPLICATION  
TRANSMITTAL

Our Docket No.: M507.12

Date: March 14, 2001

First Named Inventor: David A. Engler et al.

Title: MICROSTRUCTURES WITH ASSISTING OPTICAL  
LENSES

Express Mail No.: EL705880288US

J1050 U.S. PTO  
09/805990  
03/14/01

## APPLICATION ELEMENTS

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Calculation Sheet  
(Submit an original and a duplicate for fee processing)

2. ☐ Applicant claims small entity status

3. ☒ Specification Total Pages [29]  
- Descriptive title of the invention  
- Cross References to Related Applications  
- Statement Regarding Fed. Sponsored R&D  
- Reference to Microfiche Appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claims  
- Abstract of the Disclosure

4. ☒ Drawings (35 U.S.C. 113)

Total Sheets [3]

5. ☒ Oath or Declaration

Total Pages [6]

- a. ☒ Newly Executed (original or copy)  
b. ☐ Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18 completed)

[Mark Box 5 below]

- I. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)

6. ☒ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  
8. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)  
a. ☐ Computer Readable Copy (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies; or  
ii. ☐ Paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))  
10. ☐ 37 C.F.R. 3.73(b) Submission  
☒ Power of Attorney  
11. ☐ English Translation Document (If applicable)  
12. ☒ Information Disclosure Statement with copies of Citations as necessary  
13. ☐ Preliminary Amendment Total Pages ☐  
14. ☒ Return Receipt Postcard (Should be specifically itemized)  
15. ☐ Certified Copy of Priority document(s) (If foreign priority is claimed)  
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). (Nonpublication Request)  
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior Application No.

Prior Application Information: Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

ATTY NAME  
AND REG. NO.

Jeffrey D. Shewchuk

SIGNATURE:

ADDRESS

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# FEE TRANS

Complete if Known

Application No.   
 Filing Date March 14, 2001   
 First Named Inventor David A. Engler et al.   
 Group Art Unit   
 Examiner Name   
 Atty. Docket Number M507.12-0017

Total Amount of Payment \$1,208.00

## METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account No.11-0982.   
 Deposit Account Name: Kinney & Lange, P.A.

2. ☒ Check Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description
103	710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee
106	320	206	160	<input type="checkbox"/> Design Filing Fee
108	710	208	355	<input type="checkbox"/> Reissue Filing Fee
110	150	214	75	<input type="checkbox"/> Prov. Filing Fee
Subtotal (1) \$710.00				

### 2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	41	20	= 21	x 18	= 378
Indep.	4	3	= 1	x 80	= 80
Multiple Dependent Claims			*	=	*
**Insert 3 and 20, or number previously paid if greater; Reissue see below					
Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Description	
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple Dependent Claim	
109	80	209	40	Reissue Independent Claims Over Original Patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
Subtotal (2) \$458.00					

## FEE CALCULATION (Continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - Late filing fee or oath	*
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	*
139	130	139	130	Non-English specification	*
147	2,520	147	2,520	For Filing a Request for Reexamination	*
115	110	215	55	Extension for reply within first month	*
116	390	216	195	Extension for reply within second month	*
117	890	217	445	Extension for reply within third month	*
118	1,390	218	695	Extension for reply within fourth month	*
128	1,890	280	945	Extension for reply within fifth month	*
120	310	220	155	Filing a brief in support of an appeal	*
121	270	221	135	Request for oral hearing	*
148	110	248	55	Terminal Disclaimer Fee	*
140	110	240	55	Petition to revive - unavoidable	*
141	1,240	241	620	Petition to revive - unintentional	*
142	1,270	242	650	Utility/Reissue issue fee (inc. advance copies)	*
143	440	243	220	Design issue fee (inc. advance copies)	*
122	130	122	130	Petitions to the Commissioner	*
123	50	123	50	Petitions related to provisional applications	*
126	180	126	180	Submission of Information Disclosure Statement	*
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
Other fee (specify) _____					*
Subtotal (3) \$40.00					

Signature

Jeffrey D. Shewchuk

Reg. No. 37,235

Date

March 14, 2001

Deposit Account No. 11-0982